

# On Field's Nominalization of Physical Theories

Máté Szabó  
*Eötvös University*

# The Quine-Putnam indispensability argument

(canonized form)

- (P1) We ought to have ontological commitment to all and only the entities that are indispensable to our best scientific theories.
- (P2) Mathematical entities are indispensable to our best scientific theories.
- (C) We ought to have ontological commitment to mathematical entities.

- (P1) was controversial from the beginning.
- (P2) was considered evident.

Hartry Field was the first who claimed – in his *Science Without Numbers* (1980) – that premise (P2) is false: mathematical entities are **not indispensable** to our best scientific theories.

# Field's nominalization program

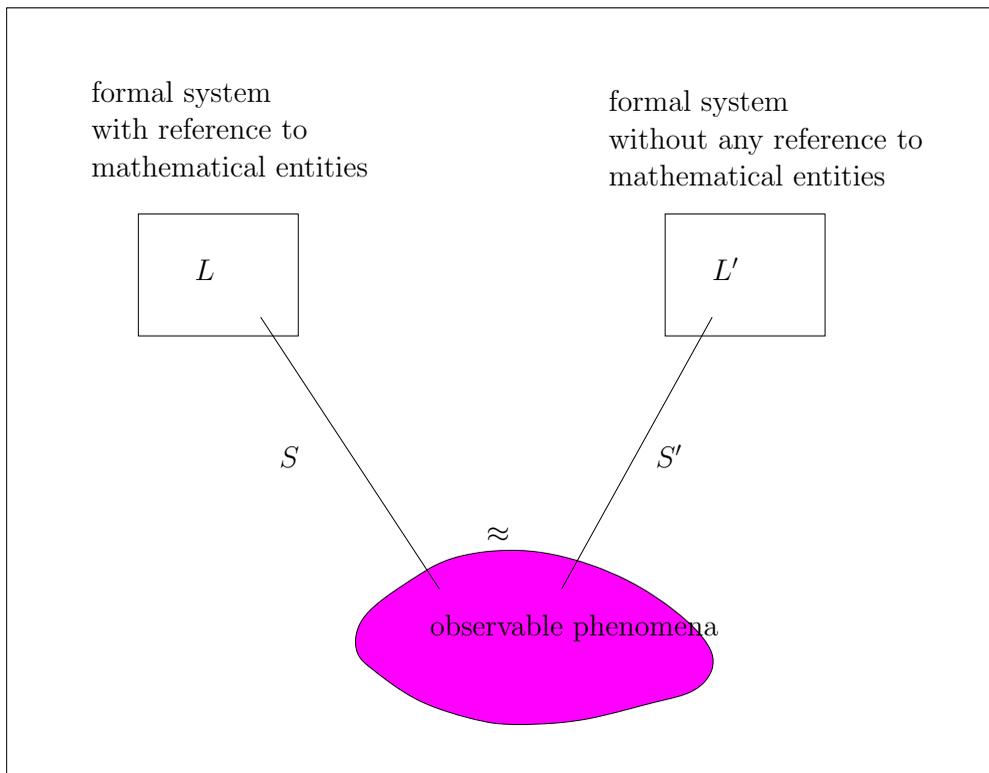
Field claims that a physical theory can be “nominalized”, that is, it can be reconstructed in such a way that

- the new theory does not contain quantifications over mathematical entities
- (still remains attractive)

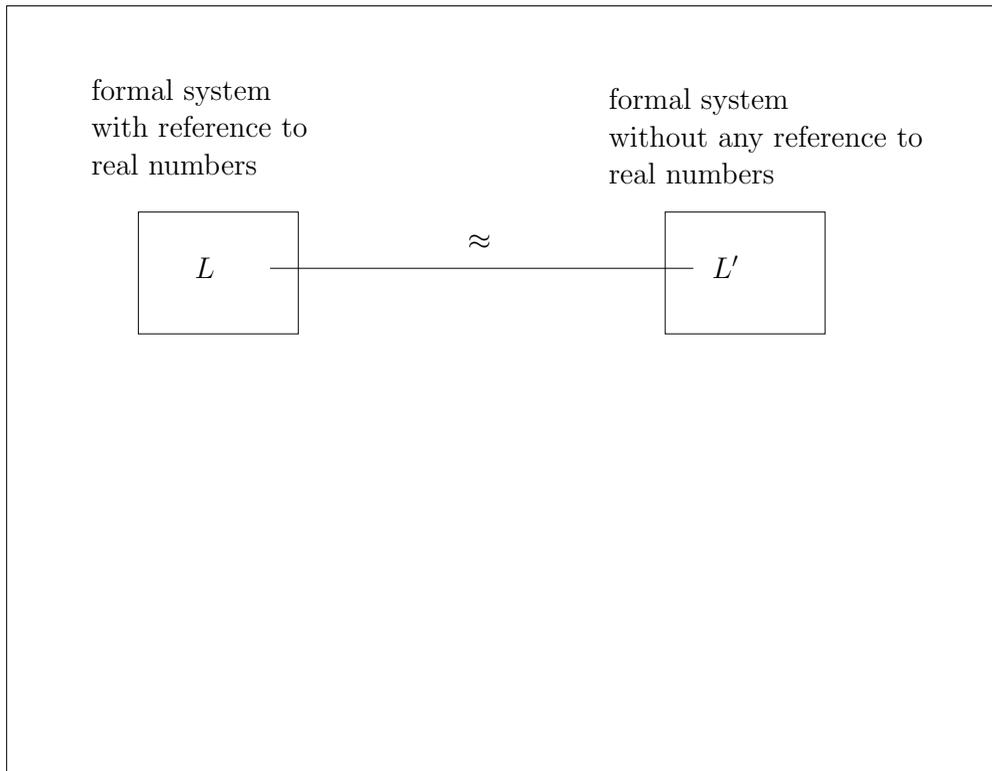
# The Nominalization Procedure

1. we have a body of physical facts, in terms of empirical observations
2. we have the usual (platonistic) physical theory describing the observable phenomena in question – containing reference to and quantifications over mathematical entities
3. we construct a new theory which is capable to describe the same phenomena, but without any reference to mathematical entities

Symbolically:



Symbolically:



# A “toy” physical theory

The “toy” physical theory is about a few empirically observable regularities related with the spatial relations of properties of the material points/molecules of a sheet of paper.

Thus:

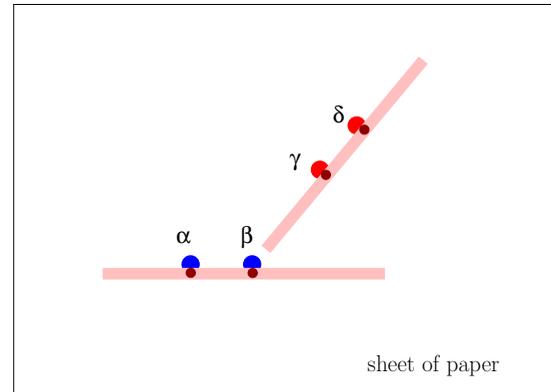
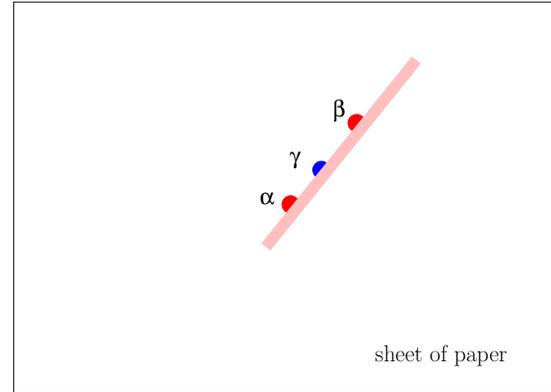
- the only physical entities are the molecules of the paper
- the only measuring equipment is a scale-free ruler

# Observed phenomena to be described

*Betweenness* We say that molecule  $\gamma$  is between molecules  $\alpha$  and  $\beta$  if

- 1) whenever the ruler fits to  $\alpha$  and  $\beta$  then it also fits to  $\gamma$
- 2) the mark on the ruler corresponding to  $\gamma$  falls between the marks corresponding to  $\alpha$  and  $\beta$ .

*Congruence* We will say that a pair of molecules  $\alpha, \beta$  is congruent to a pair of molecules  $\gamma, \delta$  if whenever we mark the ruler at  $\alpha, \beta$ , the same marks will also fit  $\gamma, \delta$ .



**For example we can observe:**

**(E1)** If molecules  $\alpha$  and  $\beta$  are congruent to molecules  $\gamma$  and  $\delta$ , and  $\gamma$  and  $\delta$  are congruent to molecules  $\varepsilon$  and  $\zeta$ , then  $\alpha$  and  $\beta$  are congruent to  $\varepsilon$  and  $\zeta$ .

**(E2)** If we consider three molecules fitting to the ruler, then there is exactly one that lies between the other two.

# The usual (platonistic) theory of the paper

**The formal system we will use:**  $L = (\mathbb{R}^2, \Gamma, \Lambda)$

$\mathbb{R}^2$       pairs of real numbers

$\Gamma(a, b, c)$       relation between three points of  $\mathbb{R}^2$  (six real numbers):

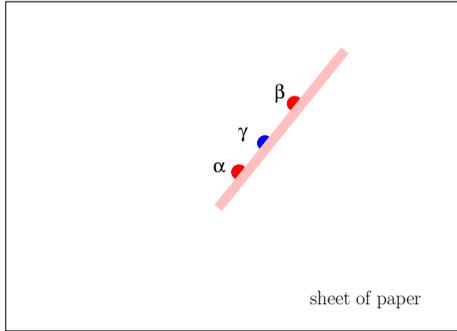
$$\begin{aligned} & \sqrt{(a_1 - b_1)^2 + (a_2 - b_2)^2} + \sqrt{(c_1 - b_1)^2 + (c_2 - b_2)^2} \\ &= \sqrt{(a_1 - c_1)^2 + (a_2 - c_2)^2} \end{aligned}$$

$\Lambda(a, b, c, d)$       relation between four points of  $\mathbb{R}^2$  (eight real numbers):

$$\sqrt{(a_1 - b_1)^2 + (a_2 - b_2)^2} = \sqrt{(c_1 - d_1)^2 + (c_2 - d_2)^2}$$

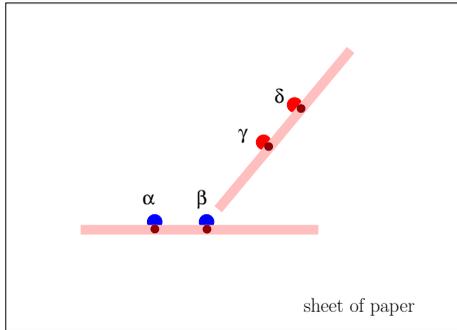
# Semantics

The empirically observable physical configurations, **Betweenness** and **Congruence**, correspond to relations between real numbers,  $\Gamma$  and  $\Lambda$ :



$S$   
 $\iff$

$$\Gamma(a, c, b)$$



$S$   
 $\iff$

$$\Lambda(a, b, c, d)$$

# Empirical confirmation

The physical theory  $(L, S)$ , that is the formal system  $(\mathbb{R}^2, \Gamma, \Lambda)$  with the above semantics provides a proper description of our empirical knowledge about the paper. Moreover, it has **predicative** power! For instance, the following is a theorem of  $(\mathbb{R}^2, \Gamma, \Lambda)$ :

## Theorem 1.

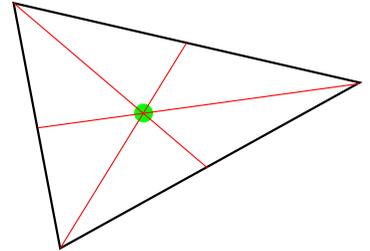
$$\begin{aligned} & \forall a \forall b \forall g \forall d \forall e \forall z \exists o \Gamma(a, d, b) \wedge \Gamma(b, e, g) \wedge \Gamma(g, z, a) \\ & \wedge \Lambda(a, d, d, b) \wedge \Lambda(b, e, e, g) \wedge \Lambda(g, z, z, a) \\ & \rightarrow \Gamma(a, o, e) \wedge \Gamma(b, o, z) \wedge \Gamma(g, o, d) \end{aligned}$$

# Empirical confirmation

The physical theory  $(L, S)$ , that is the formal system  $(\mathbb{R}^2, \Gamma, \Lambda)$  with the above semantics provides a proper description of our empirical knowledge about the paper. Moreover, it has **predicative** power! For instance, the following is a theorem of  $(\mathbb{R}^2, \Gamma, \Lambda)$ :

## Theorem 1.

$$\begin{aligned} & \forall a \forall b \forall g \forall d \forall e \forall z \exists o \Gamma(a, d, b) \wedge \Gamma(b, e, g) \wedge \Gamma(g, z, a) \\ & \wedge \Lambda(a, d, d, b) \wedge \Lambda(b, e, e, g) \wedge \Lambda(g, z, z, a) \\ & \rightarrow \Gamma(a, o, e) \wedge \Gamma(b, o, z) \wedge \Gamma(g, o, d) \end{aligned}$$

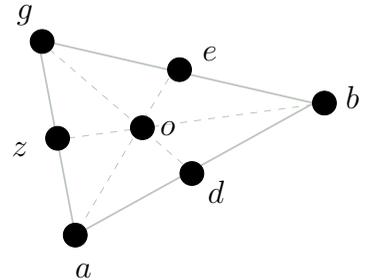


# Empirical confirmation

The physical theory  $(L, S)$ , that is the formal system  $(\mathbb{R}^2, \Gamma, \Lambda)$  with the above semantics provides a proper description of our empirical knowledge about the paper. Moreover, it has **predicative** power! For instance, the following is a theorem of  $(\mathbb{R}^2, \Gamma, \Lambda)$ :

## Theorem 1.

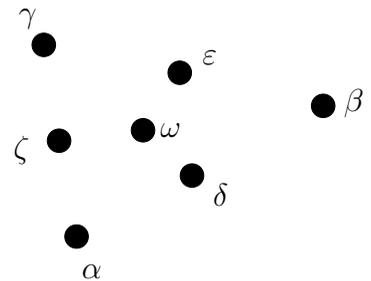
$$\begin{aligned} & \forall a \forall b \forall g \forall d \forall e \forall z \exists o \Gamma(a, d, b) \wedge \Gamma(b, e, g) \wedge \Gamma(g, z, a) \\ & \wedge \Lambda(a, d, d, b) \wedge \Lambda(b, e, e, g) \wedge \Lambda(g, z, z, a) \\ & \rightarrow \Gamma(a, o, e) \wedge \Gamma(b, o, z) \wedge \Gamma(g, o, d) \end{aligned}$$



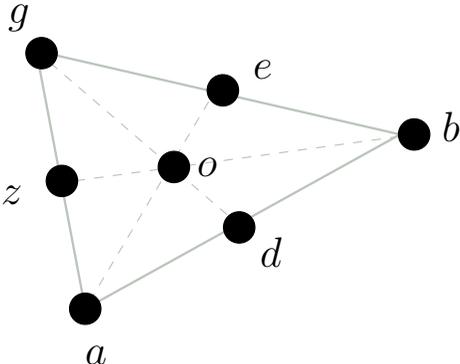
# Empirical confirmation

The physical theory  $(L, S)$ , that is the formal system  $(\mathbb{R}^2, \Gamma, \Lambda)$  with the above semantics provides a proper description of our empirical knowledge about the paper. Moreover, it has **predicative** power! For instance, the following is a theorem of  $(\mathbb{R}^2, \Gamma, \Lambda)$ :

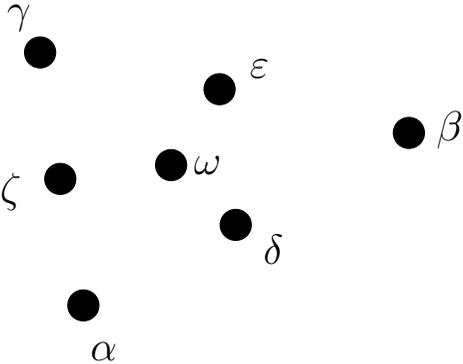
**HYPOTHESIS:** If molecules  $\alpha, \beta, \gamma, \delta, \varepsilon$  and  $\zeta$  satisfy that  $\delta$  is between  $\alpha$  and  $\beta$ ,  $\varepsilon$  is between  $\beta$  and  $\gamma$ , and  $\zeta$  is between  $\gamma$  and  $\alpha$ , furthermore,  $\alpha, \delta$  are congruent to  $\delta, \beta$ , and  $\beta, \varepsilon$  are congruent to  $\varepsilon, \gamma$ , and  $\gamma, \zeta$  are congruent to  $\zeta, \alpha$ , then we can always find a molecule  $\omega$  such that it is between  $\alpha$  and  $\varepsilon$ , and it is between  $\beta$  and  $\zeta$  and it is between  $\gamma$  and  $\delta$ .



**Indeed!**



$S$   
 $\iff$



One can easily verify it on the paper, empirically, by means of the ruler.

$(L, S)$  is, in Field's terminology, a platonistic theory, because it **contains reference to mathematical entities, namely, to real numbers.** ( $\Gamma$  and  $\Lambda$  are relations between real numbers.)

# The Nominalized Theory

We will construct another physical theory, that is, we will give another formal system  $L'$  with another semantics  $S'$ , such that:

- it can equally well describe the same observable phenomena
- but without any reference to mathematical entities

**The language of  $L'$  contains:**

- individuum variables:  $A, B, C, \dots$
- a three-argument predicate:  $Bet$
- a four-argument predicate:  $Cong$

Beyond the logical axioms of PC(=) (predicate calculus with identity) we give the following “physical” axioms:

$$\mathbf{T1} \quad \forall A \forall B \text{ Cong}(A, B, B, A)$$

$$\mathbf{T2} \quad \forall A \forall B \forall C \text{ Cong}(A, B, C, C) \rightarrow A = B$$

$$\mathbf{T3} \quad \forall A \forall B \forall C \forall D \forall E \forall F \text{ Cong}(A, B, C, D) \wedge \text{ Cong}(C, D, E, F) \\ \rightarrow \text{ Cong}(A, B, E, F)$$

$$\mathbf{T4} \quad \forall A \forall B \text{ Bet}(A, B, A) \rightarrow A = B$$

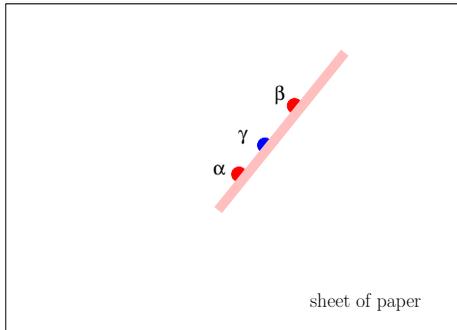
(...)

$$\mathbf{T10} \quad \forall A \forall B \forall C \forall D \forall E \forall F \forall G \forall H \neg A = B \wedge \text{ Bet}(A, B, C) \wedge \text{ Bet}(E, F, G) \\ \wedge \text{ Cong}(A, B, E, F) \wedge \text{ Cong}(B, C, F, G) \wedge \text{ Cong}(A, D, E, H) \\ \wedge \text{ Cong}(B, D, F, H) \rightarrow \text{ Cong}(C, D, G, H)$$

$$\mathbf{T11} \quad \forall A \forall B \forall C \forall D \exists E \text{ Bet}(D, A, E) \wedge \text{ Cong}(A, E, B, C)$$

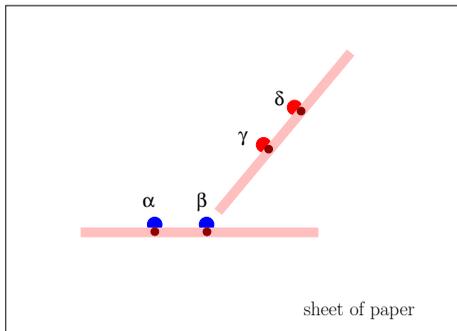
# Semantics

Individuum variables  $A, B, C, \dots$  range over the molecules  $\alpha, \beta, \gamma, \dots$  of the paper. The empirically observable physical configurations, **Betweenness** and **Congruence**, correspond to the predicates **Bet** and **Cong**:



$S'$   
 $\iff$

$Bet(A, C, B)$



$S'$   
 $\iff$

$Cong(A, B, C, D)$

# Empirical confirmation

The theory so obtained equally well describes our empirical knowledge about the paper. Moreover it has the same **predictive** power! For instance, the following is a theorem of  $L'$ :

**Theorem 1'.**

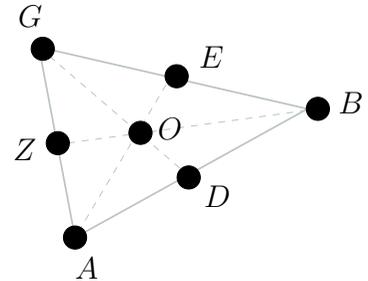
$$\begin{aligned} & \forall A \forall B \forall G \forall D \forall E \forall Z \exists O \text{ Bet}(A, D, B) \\ & \wedge \text{Bet}(B, E, G) \wedge \text{Bet}(G, Z, A) \wedge \text{Cong}(A, D, D, B) \\ & \wedge \text{Cong}(B, E, E, G) \wedge \text{Cong}(G, Z, Z, A) \\ & \rightarrow \text{Bet}(A, O, E) \wedge \text{Bet}(B, O, Z) \wedge \text{Bet}(G, O, D) \end{aligned}$$

# Empirical confirmation

The theory so obtained equally well describes our empirical knowledge about the paper. Moreover it has the same **predictive** power! For instance, the following is a theorem of  $L'$ :

**Theorem 1'.**

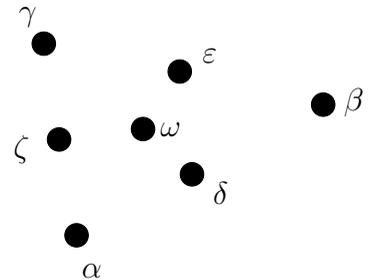
$$\begin{aligned} & \forall A \forall B \forall G \forall D \forall E \forall Z \exists O \text{ Bet}(A, D, B) \\ & \wedge \text{Bet}(B, E, G) \wedge \text{Bet}(G, Z, A) \wedge \text{Cong}(A, D, D, B) \\ & \wedge \text{Cong}(B, E, E, G) \wedge \text{Cong}(G, Z, Z, A) \\ & \rightarrow \text{Bet}(A, O, E) \wedge \text{Bet}(B, O, Z) \wedge \text{Bet}(G, O, D) \end{aligned}$$



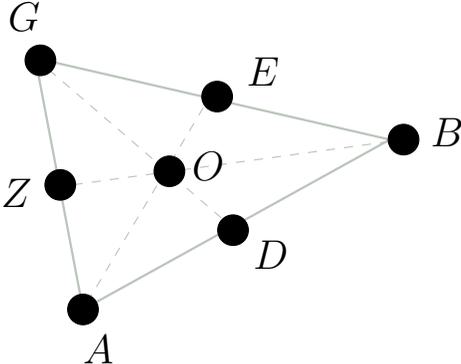
# Empirical confirmation

The theory so obtained equally well describes our empirical knowledge about the paper. Moreover it has the same **predictive** power! For instance, the following is a theorem of  $L'$ :

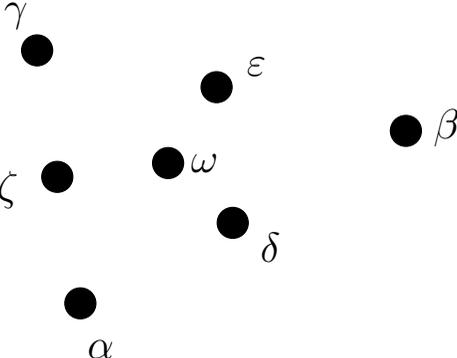
**HYPOTHESIS:** If molecules  $\alpha, \beta, \gamma, \delta, \varepsilon$  and  $\zeta$  satisfy that  $\delta$  is between  $\alpha$  and  $\beta$ ,  $\varepsilon$  is between  $\beta$  and  $\gamma$ , and  $\zeta$  is between  $\gamma$  and  $\alpha$ , furthermore,  $\alpha, \delta$  are congruent to  $\delta, \beta$ , and  $\beta, \varepsilon$  are congruent to  $\varepsilon, \gamma$ , and  $\gamma, \zeta$  are congruent to  $\zeta, \alpha$ , then we can always find a molecule  $\omega$  such that it is between  $\alpha$  and  $\varepsilon$ , and it is between  $\beta$  and  $\zeta$  and it is between  $\gamma$  and  $\delta$ .



**Indeed!**



$S'$   
 $\Leftrightarrow$



One can easily verify it on the paper, empirically, by means of the ruler.

## Concluding remarks

There are however at least two important branches of the philosophy of mathematics which remain unaltered by Field's nominalization results:

- Although we eliminated from the physical theories the references to mathematical entities, we did not eliminate the **mathematical structures** themselves! For example, we eliminated the reference to the points of  $\mathbb{R}^2$ , but did not eliminate the Euclidean geometry defined by Tarski's axioms T1–T11. And this is enough for the **structuralist version of platonism**.
- Both the Quine–Putnam argument and Field's nominalization program are based on the tacit assumption that the terms and statements of mathematics have **meanings**, and the only question is the ontological status of the entities that mathematics refers to. This assumption is however unacceptable from the point of view of formalist philosophy of mathematics.