

# Fully Arbitrary Public Announcements

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- 1 PAL & APAL
- 2 F-APAL
- 3 Simpler Solutions?

# Epistemic Logic

Start with: Epistemic Logic.

$$\varphi ::= p \mid \neg\varphi \mid \varphi \vee \psi \mid K_a\varphi$$

Interpretation:  $K_a\varphi$  means: agent  $a$  know that  $\varphi$  is true.  
(Dual  $\hat{K}_a\varphi$ : agent  $a$  thinks  $\varphi$  might be true.)

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# Public Announcement Logic (I)

Add: public announcement operator. [Plaza, 1989][Baltag et al., 1998]

$$\varphi ::= p \mid \neg\varphi \mid \varphi \vee \varphi \mid K_a\varphi \mid [\varphi]\varphi$$

$[\psi]\varphi$  means: if  $\psi$  is truthfully and publicly announced, then  $\varphi$  will be true.

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## Public Announcement Logic (II)

Example: card game. Alice holds 7 of spades, Bob holds king of clubs, Claire holds ace of hearts.

Notation:  $a : 7\spadesuit$ ,  $b : K\clubsuit$ ,  $c : A\heartsuit$ .

I am an observer, see all cards.

I say out loud: Claire holds  $7\spadesuit$  or  $A\heartsuit$ .

Result: Alice knows that Claire holds  $A\heartsuit$ , Bob does not.

In formulas:  $[(c : 7\spadesuit) \vee (c : A\heartsuit)](K_a(c : A\heartsuit) \wedge \neg K_b(c : A\heartsuit))$

## Public Announcement Logic (II)

Example: card game. Alice holds 7 of spades, Bob holds king of clubs, Claire holds ace of hearts.

Notation:  $a : 7♠$ ,  $b : K♣$ ,  $c : A♥$ .

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$\square\varphi$  means: for every  $\psi$ , we have  $[\psi]\varphi$ .

Dual:  $\diamond\varphi$  means: for some  $\psi$ , we have  $[\psi]\varphi$  and  $\psi$  is true.

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## Arbitrary Public Announcement Logic (II)

Example:  $\diamond(K_c(a : 7\spadesuit) \wedge K_a K_c(a : 7\spadesuit) \wedge \neg K_b K_c(a : 7\spadesuit))$

Interpretation: there is something I could say that would result in (i) Claire knowing that Alice holds  $7\spadesuit$ , (ii) Alice knowing that Claire knows and (iii) Bob not knowing that Claire knows.

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# APAL (the truth, this time)

Intuitive, desired meaning of  $\Box\varphi$ :

for every  $\psi$ , we have  $[\psi]\varphi$ .

Technical meaning of  $\Box\varphi$ :

for every  $\psi$  that does not contain  $\Box$ , we have  $[\psi]\varphi$ .

Reason: excluding  $\psi$  that contain  $\Box$  avoids circularity.

See [Balbiani et al., 2007] for details.

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*The 'intuitive' version for the semantics of  $\Box\varphi$  more properly corresponds to its intended meaning ' $\varphi$  is true after arbitrary announcements'. This version is not well-defined, as  $\Box\varphi$  is itself one such announcement.*

*[Balbiani et al., 2007]*

## APAL example (revisited, I)

Consider this conversation:

**Me, speaking out loud:** There is something I could say, that would result in Alice learning that Claire holds the 9 of spades or the ace of hearts, without Bob finding out.

**Claire, thinking to herself:** Oh, then Alice must have the 7 of spades.

**Alice, thinking to herself:** Oh, then Claire must know that I have the 7 of spades.

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Let  $\chi = (K_c(a : 7\spadesuit) \wedge K_a K_c(a : 7\spadesuit) \wedge \neg K_b K_c(a : 7\spadesuit))$ .

Then: there is a true announcement  $\psi$  such that  $[\psi]\chi$ .

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Introducing: Fully Arbitrary Public Announcement Logic (F-APAL).

Goal:  $\Box\varphi$  if and only if  $[\psi]\varphi$  for every  $\psi$ .

Not lying this time. I mean *every*  $\psi$ ,<sup>1</sup> whether it contains  $\Box$  or not.

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## F-APAL: the cost (I)

We can succeed in this goal. F-APAL satisfies

$$\mathcal{M}, w \models \Box\varphi \text{ if and only if } \mathcal{M}, w \models [\psi]\varphi \text{ for all } \psi. \quad (*)$$

But: at a high cost.

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Is (\*) worth the price of a proper class of operators?

If computational complexity is an issue: probably not.

From a purely theoretical point of view: I think so  
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Done with introductory remarks. Time for formal definitions!

Language  $\mathcal{L}$  of F-APAL:

$$\varphi ::= p \mid \neg\varphi \mid \varphi \vee \varphi \mid K_a\varphi \mid [\varphi]\varphi \mid \Box_\alpha\varphi \mid \Box\varphi$$

Where:  $p$  a propositional variable,  $a$  an agent,  $\alpha$  an ordinal.



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For ordinal  $\alpha$ , language  $\mathcal{L}_\alpha$ :

$$\varphi ::= p \mid \neg\varphi \mid \varphi \vee \varphi \mid K_a\varphi \mid [\varphi]\varphi \mid \Box_\beta\varphi$$

Where:  $\beta < \alpha$ .

In other words:

$\mathcal{L}_\alpha$  is the fragment of  $\mathcal{L}$  without  $\Box$  and without  $\Box_\gamma$  for  $\gamma \geq \alpha$ .

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# F-APAL: semantics (I)

Operator  $\Box_0$  quantifies over all formula that do not contain  $\Box$  or  $\Box_\alpha$ .

So:

$$\mathcal{M}, w \models \Box_0\varphi \Leftrightarrow \forall \psi \in \mathcal{L}_0 : \mathcal{M}, w \models [\psi]\varphi.$$

Operator  $\Box_1$  additionally quantifies over formulas that contain  $\Box_0$ .

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In general:

$$\mathcal{M}, w \models \Box_{\alpha}\varphi \Leftrightarrow \forall \psi \in \mathcal{L}_{\alpha} : \mathcal{M}, w \models [\psi]\varphi.$$

Operator  $\Box$ : conjunction of  $\Box_{\alpha}$  for all  $\alpha$ .

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Semantics of  $\Box$ : well-founded, therefore well-defined,

i. e. for every  $\mathcal{M}, w$  and every  $\varphi$ , exactly one of  $\mathcal{M}, w \models \varphi$  and  $\mathcal{M}, w \not\models \varphi$  consistent with definition.<sup>2</sup>

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But! Remember (\*):

$$\mathcal{M}, w \models \Box\varphi \text{ if and only if } \mathcal{M}, w \models [\psi]\varphi \text{ for all } \psi. \quad (*)$$

Compare with definition of  $\Box$ :

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Not immediate from definition that  $\Box$  satisfies (\*):

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$$\mathcal{M}, w \models \Box\varphi \Leftrightarrow \forall \alpha \in \text{Ord} : \mathcal{M}, w \models \Box_\alpha\varphi.$$

Not immediate from definition that  $\Box$  satisfies (\*):

# F-APAL: fully arbitrary! (I)

Not immediate but still true:

## Theorem

□ *in F-APAL is a fully arbitrary public announcement, i.e. it satisfies (\*)*.

## F-APAL: fully arbitrary! (II)

### Proof sketch.

Fix any model  $\mathcal{M} = (W, R, V)$ . Let  $E_\alpha = \{\llbracket \varphi \rrbracket \mid \varphi \in \mathcal{L}_\alpha\}$ . The  $E_\alpha$  form increasing sequence. Suppose  $E_\alpha = E_{\alpha+1}$ . Then  $\Box_\alpha$  and  $\Box_{\alpha+1}$  quantify over the same set. So  $\Box_{\alpha+1}$  doesn't add anything. Therefore:

$E_{\alpha+2} = E_{\alpha+1} = E_\alpha$ . By induction:  $E_\alpha = E_\beta$  for all  $\beta \geq \alpha$ .

Only  $|2^W|$  different extensions on  $\mathcal{M}$ . So:  $E_\beta = E_\gamma$  for all  $\beta, \gamma > |2^W|$ .

Therefore: for all  $\varphi$ ,  $M \models \Box\varphi \leftrightarrow \Box_{(|2^W|+1)}\varphi$ . By construction,  $\Box$  quantifies over all  $\Box$ -free formulas. By the equivalence, every formula with  $\Box$  is equivalent (on  $\mathcal{M}$ ) to one without. So: for every  $\psi$ ,  $\Box$  quantifies over formula that is equivalent to  $\psi$ .



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□

# Summary

In summary:  $\square$  in F-APAL is a fully arbitrary public announcement, satisfying

$$\mathcal{M}, w \models \square\varphi \text{ if and only if } \mathcal{M}, w \models [\psi]\varphi \text{ for all } \psi. \quad (*)$$

But at a price: F-APAL uses proper class of auxiliary operators  $\square_\alpha$ .

# Table of Contents

- 1 PAL & APAL
- 2 F-APAL
- 3 **Simpler Solutions?**

## Avoiding the cost

F-APAL uses proper class of operators, conceptually expensive.

Can we avoid this cost, creating cheaper fully arbitrary public announcements?

Answer: we don't know, hard to prove non-existence of cheaper option.

But: salient easier alternatives fail.

We consider two such alternatives: ignoring the problem and fixed points.

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## Attempted solution 1: ignoring the problem

How about we just define

$$\mathcal{M}, w \models \Box\varphi \Leftrightarrow \forall\psi : \mathcal{M}, w \models [\psi]\varphi$$

Sure, that's circular. But maybe we are lucky and the circularity is non-vicious?

No such luck. :-)

This definition is viciously circular: it is underdetermined.

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Construction of  $\square$  as conjunction of all  $\square_\alpha$  resembles fixed point constructions. So maybe we can describe  $\square$  as a least fixed point?

Yes, we can describe  $\square$  as a fixed point. But: it is a fixed point of a non-monotone operator. So standard fixed point theorems don't apply. In particular: not known whether  $\square$  is a *least* fixed point.

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All in all: no obvious way to avoid the cost.

But: we are still searching.

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## References

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